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UTILITY PATENT APPLICATION

Please type a plus sign (+) inside th

P01426US2 Attorney Docket No. First Named Inventor

William T. Carpenter METHOD OF MODIFYING THE AXIS OF ROTATION

OF THE EARTH

TRANSMITTAL

| (Only for new nonprovisional applications under 37 CFR 1.53(b)) | Expres | ss Mai | il Label No. | EK10 | 270689 | 3US | |
|---|---|----------|---|---|--|---------------------------------------|---------|
| APPLICATION ELEMENTS | - | | ADDRES | S TO: | Box Pate | Commissioner for Paten nt Application | _ |
| See MPEP chapter 600 concerning utility patent application of | contents. | | | | <u> </u> | ton, DC 20231 | - 0 |
| X Fee Transmittal Form (e.g., PTO/SB/17) (Submit an original, and a duplicate for fee processing) X Applicant claims small entity status. See 37 CFR 1.27 X Specification [Total Pages] (preferred arrangement set forth below) - Descriptive title of the invention - Cross Reference to Related Applications - Statement Regarding Fed sponsored R & D - Reference to sequence listing, a table, or a computer program listing appendix - Background of the Invention - Brief Summary of the Invention - Brief Description of the Drawings (if filed) - Detailed Description - Claim(s) | 9] | I | Computer Nucleotide and (if applicable, a | r Program d/or Amino all necessa ter Readat n Sequenc CD-ROM o ents verifyi | (Appendix) Acid Sequency Dole Form (C E Listing or Tr CD-R (2 c Ing identity | n: | J1011 U |
| - Abstract of the Disclosure | | 10. | (when the | .73(b) Stat ere is an as | signee) | Power of Attorney | |
| Drawing(s) (35 U.S.C. 113) [Total Sheets | —]' ∣ | 11. | ⊢ ` | | | (if applicable) | |
| Oath or Declaration [Total Pages |]] | 12. | | on Disclosu t (IDS)/PT | | Copies of IDS Citations | |
| a. Newly executed (original or copy) | | 13. | x Prelimina | ry Amendn | nent | | |
| b. Copy from a prior application (37 CFR 1.63(d)) (for continuation/division with Box 18 completed) | | 14. | | | card (MPE | | |
| DELETION OF INVENTOR(S) | | 15. | Certified (| • | ority Docur | | ٠ |
| Signed statement attached deleting inventor(s) named in the prior application, | | 16. | Request a | and Certific | ation unde | r 35 U.S.C. 122 (b)(2)(B)(I). | |
| see 37 CFR 1.63(d)(2) and 1.33(b). | | 17. | | | | D/SB/35 or its equivalent. | ٦ |
| Application Data Sheet. See 37 CFR 1.76 | | .,, | | 122(b)(2)(| (B)(l) | | |
| If a CONTINUING APPLICATION, check appropriate box, a Data Sheet under 37 CFR 1.76 | nd supply the | requisit | e information belo | w and in a p | reliminary an | nendment, or in an Application | |
| | on-in-part (C | CIP) | of prior applica | tion No.: | | 09/382,052 | |
| | l. Kreck | • | Grou | p / Art Unit | : | 3673 | _ |
| or CONTINUATION or DIVISIONAL APPS only: The entirender Box 5b, is considered part of the disclosure of the acceference. The incorporation can only be relied upon when a | e disclosure | contini | uation or divisio | onal applica | ation and is | hereby incorporated by | - |
| 18. CC | RRESPO | NDEN | ICE ADDRES | SS | | , | |
| Customer Number or Bar Code Label | | | | | or X C | Correspondence address belo | ow. |
| FULBRIGHT & JAWORSKI L Jan K. Simpson | .L.P. | | | | | | |
| address 1301 McKinney, Suite 5100 | | | | | | | |
| City Houston S | tate | Tex | as | Zi | p Code 7 | 7010-3095 | |
| Country 7 | elephone | (713 | 3) 651-515 ² | 1 | Fax | (713) 651-5246 | |
| Name (Print/Type) Jan K. Simpson | | | Registration No | . (Attorney | /Agent) | 33,283 | |
| Signature Jank. | <u>, </u> | | - | | Date | 1-31-01 | |
| Transmit I hereby certify that this document is being deposite | | | Patent Applic | | ess Mail. A | Airbill No. EK102706893L | |

in an envelope addressed to: Commissioner of Patents and Trademarks, Washington, DC 20231, on the date shown below.

Dated: / - 3/-00

__(Jan K. Simpson)

FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT 800.00

| Complete if Known | | | | |
|----------------------|----------------------|--|--|--|
| Application Number | Not Yet Assigned | | | |
| Filing Date | Not Yet Assigned | | | |
| First Named Inventor | William T. Carpenter | | | |
| Examiner Name | Not Yet Assigned | | | |
| Group Art Unit | N/A | | | |
| Attorney Docket No | P01426US2 | | | |

| METHOD OF PAYMENT | FEE CALCULATION (continued) | | | | | |
|--|-----------------------------|--------------------|-------------|-------------|--|-------------|
| 1. X The Commissioner is hereby authorized to charge | 3. AI | 3. ADDITIONAL FEES | | | | |
| indicated fees and credit any overpayments to: | Large Entity Small Entity | | l Entity | | | |
| Deposit Account Number 06-2375; Order No. 974227 | Fee Code | Fee (\$) | Fee Code | Fee (\$) | Fee Description | Fee Paid |
| Deposit Full-right & James Skill D | 105 | 130 | 205 | 65 | Surcharge - late filing fee or oath | |
| Account Name Fulbright & Jaworski L.L.P. | 127 | 50 | 227 | 25 | Surcharge – late provisional filing fee or cover sheet. | |
| X Charge Any Additional Fee Required Under X Applicant claims small entity status. See | 139 | 130 | 139 | 130 | Non-English specification | |
| 37 CFR 1.16 and 1.17 37 CFR 1.27 | 147 | 2,520 | 147 | 2,520 | For filing a request for ex parte reexamination | |
| 2. Payment Enclosed | 112 | 920* | 112 | 920° | Requesting publication of SIR prior to Examiner action | |
| Check Credit Card Money Order Other | 113 | 1,840* | 113 | 1,840* | Requesting publication of SIR after Examiner action | |
| FEE CALCULATION | 115 | 110 | 215 | 55 | Extension for reply within first month | |
| 1. BASIC FILING FEE | 116 | 390 | 216 | 195 | Extension for reply within second month | |
| Large Entity Small Entity | 117 | 890 | 217 | 445 | Extension for reply within third month | 445.00 |
| Fee Fee Fee Fee Description Fee Paid | 118 | 1,390 | 218 | 695 | Extension for reply within fourth month | |
| 101 710 201 355 Utility filing fee 355.00 | 128 | 1,890 | 228 | 945 | Extension for reply within fifth month | |
| 106 320 206 160 Design filing fee | 119 | 310 | 219 | 155 | Notice of Appeal | |
| 107 490 207 245 Plant filing fee | 120 | 310 | 220 | 155 | Filing a brief in support of an appeal | |
| 108 710 208 355 Reissue filing fee | 121 | 270 | 221 | 135 | Request for oral hearing | |
| 114 150 214 75 Provisional filing fee | 138 | 1,510 | 138 | 1,510 | Petition to institute a public use proceeding | |
| aup=0=41 (4) (a) | 140 | 110 | 240 | 55 | Petition to revive – unavoidable | |
| SUBTOTAL (1) (\$) 355.00 | 141 | 1,240 | 241 | 620 | Petition to revive - unintentional | |
| 2. EXTRA CLAIM FEES Extra Fee from Claims below Fee Paid | 142 | 1,240 | 242 | 620 | Utility issue fee (or reissue) | |
| Total Claims 10 -20** = x = | 143 | 440 | 243 | 220 | Design issue fee | |
| Independent 1 -3** = x = x | 144 | 600 | 244 | 300 | Plant issue fee | |
| Multiple Dependent = | 122 | 130 | 122 | 130 | Petitions to the Commissioner | |
| | 123 | 50 | 123 | 50 | Processing fee under 37 CFR 1.17(q) | |
| Large Entity Small Entity | 126 | 180 | 126 | 180 | Submission of Information Disclosure Stmt | |
| Fee Fee Fee Fce Fee Description | 581 | 40 | 581 | 40 | Recording each patent assignment per property (times number of properties) | |
| 103 18 203 9 Claims in excess of 20 102 80 202 40 Independent claims in excess of 3 | 146 | 710 | 246 | 355 | Filing a submission after final rejection (37 CFR 1.129(a)) | |
| 104 270 204 135 Multiple dependent claim, if not paid | 149 | 710 | 249 | 355 | For each additional invention to be examined (37CFR 1.129(b)) | |
| 109 80 209 40 ** Reissue independent claims | 179 | 710 | 279 | 355 | Request for Continued Examination (RCE) | |
| over original patent | 169 | 900 | 169 | 900 | Request for expedited examination | |
| 110 18 210 9 ** Reissue claims in excess of 20 and over original patent | Other | fee (spec | ify) | | of a design application | |
| SUBTOTAL (2) (\$) | *Redu | ced by B | asic Filir | | | 445.00 |
| **or number previously paid, if greater, For Reissues, see above | | | | | | |
| SUBMITTED BY Complete (if applicable) | | | | | | |
| Name (print/type) Jan K. Simpson | Registi | ration No. | 33, | 283 | Telephone (713) 651-5151 | |
| Signature Junk. | | | 1 | | Date /- 31-01 | |
| | | | | | | |

Fee Transmittal

I hereby certify that this document is being deposited with the U.S. Postal Service as Express Mail, Airbill No. EK102706893US, in an envelope addressed to: Commissioner of Patents and Trademarks, Washington, DC 20231, on the date shown below.

Signature:

I hereby certify that this document is being deposited with the U.S. Postal Service as Express Mail, Airbill No. EK102706893US is an envelope addressed to: Commissioner of Patents and Trademarks, Washington, DC 2 in the date shown below.

Dated: 1-31-01

nature Jan K. Simpson



Docket No.: P01426US

JAN 3 1 2001 E

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:

William T. Carpenter

Application No.: Not Yet Assigned

Group Art Unit: N/A

Filed: Not Yet Assigned

Examiner: Not Yet Assigned

For: METHOD OF MODIFYING THE AXIS OF ROTATION OF THE EARTH

TRANSMITTAL LETTER

Assistant Commissioner for Patents Washington, DC 20231

Dear Sir:

Enclosed are the following items for filing in connection with the above-referenced Patent Application:

- 1. Request for Extension of Time (for 09/382,052);
- 2. Express Abandonment under 37 CFR 1.138 (for 09/382,052);
- 3. Utility Patent Application Transmittal;
- 4. Fee Transmittal (in duplicate);
- 5. Specification (9 pages);
- 6. First Preliminary Amendment (2 pages);
- 7. Request and Certification Under 35 USC 122(b)(2)(b)(I); and
- 8. Return Postcard

The Commissioner is hereby authorized to charge any deficiency in the fees filed, asserted to be filed or which should have been filed herewith (or with any paper hereafter filed in this application by this firm) to our Deposit Account No. 06-2375, under Order No. 974227.

5625455.1

| Respectfully s | ubmitted, |
|----------------|-----------|
|----------------|-----------|

| Dated: / J/ | Dated: | /- | 31 | -01 | |
|-------------|--------|----|----|-----|--|
|-------------|--------|----|----|-----|--|

Jan K Simpson
Registration No.: 33,283

FULBRIGHT & JAWORSKI L.L.P.

1301 McKinney, Suite 5100 Houston, Texas 77010-3095

(713) 651-5151

Attorneys for Applicant

PTO/SB/35 (11-00)

Approved for use through 10/31/2002. OMB 0651-0031 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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REQUEST AND CERTIFICATION UNDER 35 U.S.C. 122(b)(2)(B)(i)

| First Named Inventor | William T. Carpenter |
|----------------------|---|
| | METHOD OF MODIFYING THE AXIS OF ROTATION OF THE EARTH |
| Title | OF ROTATION OF THE EARTH |
| Attorney Docket No. | P01426US2 |

I hereby certify that the invention disclosed in the attached application has not and will not be the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing. I hereby request that the attached application not be published under 35 U.S.C. 122(b).

/- 3/-0/ Date

Jan K. Simpson
Typed or printed name

Reg. No. 33,283

This request must be signed in compliance with 37 CFR 1.33(b) and submitted with the application **upon filing.**

Application may rescind this nonpublication request at any time. If applicant rescinds a request that an application not be published under 35 U.S.C. 122(b), the application will be scheduled for publication at eighteen months from the earliest claimed filing date for which a benefit is claimed.

If applicant subsequently submits an application directed to the invention disclosed in the attached application in another country, or under a multilateral international agreement, that requires publication of applications eighteen months after filing, the applicant **must** notify the United States Patent and Trademark Office of such filing within forty-five (45) days after the date of the filing of such foreign or international application. **Failure to do so will result in abandonment of this application (35 U.S.C. 122(b)(2)(B)(iii)).**

Request and Certification

I hereby certify that this document is being deposited with the U.S. Postal Service as Express Mail, Airbill No. EK102706893US, in an envelope addressed to: Commissioner of Patents and Trademarks, Washington, DC 20231, on the date shown below.

Dated: 1-31-01

Signature: _

(Jan K. Simpson)